

## **CERTIFICATE OF MAILING**

In re Application of:

Serial No.: 10/051,870

Paul M. Stull

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on March 18, 2002

3/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not Assigned Yet

Group Art Unit: 3763

Docket No.: RADME-58551

Date: March 18, 2002

Filed: January 16, 2002 APPARATUS AND METHOD FOR GASTRIC COOLING USING **BALLOON CATHETER** 

<u>INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents Washington, DC 20231

Sir:

For:

The documents listed on the enclosed Form FB-A820 (PTO 1449) and are being submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed documents is enclosed. Applicant respectfully requests that each of the listed documents be considered by the Office.

PATENT Docket No. RADME-58551

Applicant has not received a first Office action on the merits in the present application and it is therefore believed that no fee is required for submission of this paper. 37 C.F.R. § 1.97(b)(3). If a fee is in fact due, the Commissioner is authorized to charge any additional fees that may be required by this paper to Deposit Account No. 06-2425.

Respectfully submitted, FULWIDER PATTON LEE & UTECHT, LLP

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO. RADME-58551 10/051,870

## INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT Paul M. Stull FILING DATE GROUP January 16, 2002 3763

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	GLASS SUB FILING DA		
	5,486,208	Jan. 23, 1996	Ginsburg			
	5,531,776	Jul 2, 1996	Ward, et al	CONTROL OF		
	5,624,392	Apr. 29, 1997	Saab	`````\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		
	5,716,386	Feb. 10, 1998	Ward, et al.			
	5,837,003	Nov. 17, 1998	Ginsburg			
	6,110,168	Aug. 29, 2000	Ginsburg			
	6,149,676	Nov. 21, 2000	Ginsburg			
	6,231,584	May 15, 2001	Dae			
	6,287,326	Sept. 11, 2001	Pecor			
	6,290,717	Sept. 18, 2001	Philips	7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES
·					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Plattner, et al., Efficacy of Intraoperative Cooling Methods, Anesthesiology, 87:1089-95, Nov. 1997
Lopez Gaston, Cold And Warm Thermoregulation By Oropharynx Area and Esophagus, Acta Gastroenterol Latinoam 2000; 30(3):159-64 (Abstract)

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE e type a plus sign (+) inside this box -> Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number' 10/051,870 TRANSMITTAL Filing Date January 16, 2002 **FORM First Named Inventor** Paul M. Stull Group Art Unit (to be used for all correspondence after initial filing) 3763 **Examiner Name** Not Assigned Yet Total Number of Pages in This Submission Attorney Docket Number RADME-585515 ENCLOSURES (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief; Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Affidavits/declaration(s) Petition to Convert to a Status Letter Provisional Application Power of Attorney, Revocation Change of Correspondence Address Additional Enclosure(s) Extension of Time Request (please identify below): PTO-FB-A820 (Form Terminal Disclaimer **Express Abandonment Request** 1449), copies of 12 cited Small Entity Statement references, and postcard Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James Juo, Registration No. 36,177 Individual name FULWIDER PATTON LEE & UTECHT, LLP Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Typed or printed name James Jup

Signature